IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: FURUHATA et al.	)	Group Art Unit: 2826
Serial No.: 09/599,477	)	
Filed: June 23, 2000	)	Examiner: Dickey, T.
For: SEMICONDUCTOR DEVICES	)	
INCLUDING A MULTI-WELL AND	)	
SPLIT GATE NON-VOLATILE	)	
MEMORY TRANSISTOR STRUCTURE	)	

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A.   Non-English Language References

☐   Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.

☐   The specification incorporates comments on the relevancy of Non-English language references.

☐   Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

☐   An English language abstract has been submitted for the reference cited on Form PTO-1449.

B. ■ The information disclosure statement submitted herewith is being filed

1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);

2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;

3) before the mailing date of a first Office Action on the merits;

4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114; or

5) before the mailing date of a first Office Action after filing of a Continued Prosecution Application.

C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:

1) a Statement under 1.97(e); **or**

2) a fee of $180 as set forth in 1.17(p).

D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:

1) a Statement under 1.97(e); **and**

2) a fee of $180 as set forth in 1.17(p).

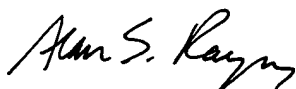## STATEMENT UNDER 1.97(e)

I, the person signing below, certify

☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

☐   that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(2).

■   **Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585.** A duplicate of this letter is enclosed for fee processing.
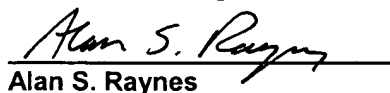
Respectfully submitted,

/Alan S. Rayn/

Alan S. Raynes   Feb. 20, 2003
Reg. No. 39,809   Date

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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on Feb. 20 **, 2003 and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.**

/Alan S. Rayn/

Alan S. Raynes   Feb. 20, 2003
                    (Date)